

UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/027,914	12/21/2001	Khalid Al-Kofahi	962.015US1

21186
SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. BOX 2938
MINNEAPOLIS, MN 55402

CONFIRMATION NO. 9949

FORMALITIES LETTER



OC000000007669974

Date Mailed: 03/19/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$396.
 - \$144 for 8 total claims over 20.
 - \$252 for 3 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1266.

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/30/2002 SVEHBOB1 00000005 10027914
740.00 DP
144.00 DP
252.00 DP
130.00 DP
01 FC:101
02 FC:103
03 FC:102
04 FC:105



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Receipt #4

Applicant: Khalid Al-Kofahi et al.

Title: SYSTEMS, METHODS, AND SOFTWARE FOR CLASSIFYING TEXT FROM JUDICIAL
OPINIONS AND OTHER DOCUMENTS

Docket No.: 962.015US1
Filed: December 21, 2001
Examiner: Unknown

Serial No.: 10/027,914
Due Date: May 19, 2002
Group Art Unit: Unknown

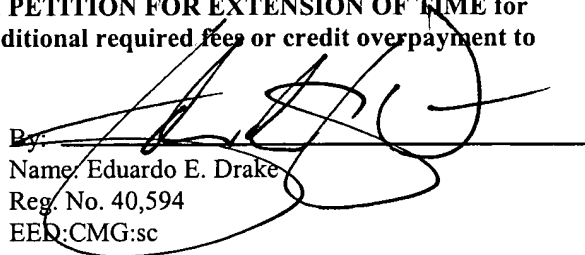
Attn: Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

We are transmitting herewith the attached:

- ☒ A return postcard.
- ☒ A check in the amount of \$130.00 to cover the large entity surcharge.
- ☒ A check in the amount of \$1,136.00 to cover the Basic Filing Fee and Additional Claims Fee.
- ☒ Communication Re: Missing Parts (1 pg.)
- ☒ A signed Combined Declaration and Power of Attorney (4 pgs.).
- ☒ A Communication re: Incorrect Filing Receipt (1 pg.).
- ☒ Copy of Filing Receipt (2 pgs.)
- ☒ Notice to File Missing Parts (1pg.)

If not provided for in a separate paper filed herewith, please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

By: 
Name: Eduardo E. Drake
Reg. No. 40,594
EEQ:CMG:sc

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Attn: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on this 16 day of May, 2002.

Seattamatie Creg
Name

Seattamatie Creg
Signature



10/027,914

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Khalid Al-Kofahi et al. Examiner: Unknown
Serial No.: 10/027,914 Group Art Unit: Unknown
Filed: December 21, 2001 Docket: 962.015US1
Title: SYSTEMS, METHODS, AND SOFTWARE FOR CLASSIFYING TEXT FROM
JUDICIAL OPINIONS AND OTHER DOCUMENTS

COMMUNICATION RE: MISSING PARTS

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

In response to the "Notice to File Missing Parts" (see enclosed copy), we submit the signed Combined Declaration and Power of Attorney along with a check in the amount of \$130.00 to cover the large entity surcharge and a check in the amount of \$1,136.00 to cover the large entity basic filing fee and additional claims fee.

Applicants assume the application is now in proper order and in condition for examination. Please direct any inquiries to the undersigned attorney at (612) 349-9593.

If necessary, please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

Respectfully submitted,

KHALID AL-KOFAHI ET AL.

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938
Minneapolis, MN 55402
(612) 349-9593

Date

16 May 2002

By

Eduardo E. Drake
Reg. No. 40,594
EED:CMG:sc

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Attn: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on this 16 day of May, 2002.

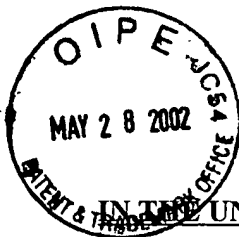
Name

Seetamathi Greg

Signature

Seetamathi Greg

S/N 10/027,914



PATENT

UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Khalid Al-Kofahi et al. Examiner: Unknown
Serial No.: 10/027,914 Group Art Unit: Unknown
Filed: December 21, 2001 Docket: 962.015US1
Title: SYSTEMS, METHODS, AND SOFTWARE FOR CLASSIFYING TEXT FROM JUDICIAL
OPINIONS AND OTHER DOCUMENTS

COMMUNICATION RE: INCORRECT FILING RECEIPT

Commissioner for Patents
Washington, D.C. 20231

Applicants hereby request correction of the Filing Receipt with respect to the above-identified patent application. In the Filing Receipt received March 25, 2002, (copy enclosed), please correct the third inventor's name to read "Timothy Earl Travers, Rochester, NY."

Applicants would appreciate the above-identified printing error be corrected and that a new "corrected" filing receipt be sent to Applicants' representatives at the address given below.

Respectfully submitted,

KHALID AL-KOFAHI ET AL.

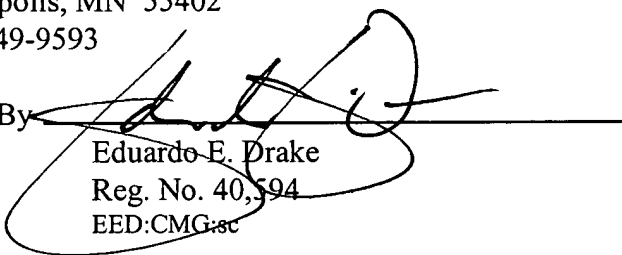
By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938
Minneapolis, MN 55402
(612) 349-9593

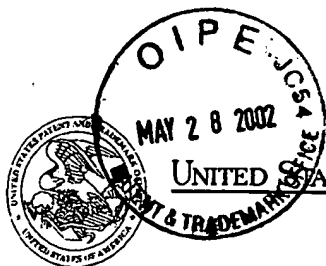
Date

16 May 2002

By


Eduardo E. Drake
Reg. No. 40,594
EED:CMG:sc

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Attn: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on this 16 day of May, 2002.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
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WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/027,914	12/21/2001	2176	0.00	962.015US1	6	28	6

CONFIRMATION NO. 9949

21186
SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. BOX 2938
MINNEAPOLIS, MN 55402

FILING RECEIPT



OC000000007669973

Date Mailed: 03/19/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Khalid Al-Kofahi, Webster, NY;
Peter Jackson, Burnsville, MN;
Timothy Travers, Rochester, NY;
Alex Tyrell, Canandaigua, NY;

DV Apr. 21, 2002 - 4 mo. IDS
Sept. 21, 2002 - 9 mo. FFL
Nov. 05, 2002 - 10 1/2 mo. FFL
Dec. 21, 2002 - CONU. EXP.

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/336,862 11/02/2001

Foreign Applications

If Required, Foreign Filing License Granted 03/19/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

CMG RECEIVED

MAR 27 2002

Schwegman, Lundberg
Woessner & Kluth, P.A.

Title

Systems, Methods, and software for classifying text from judicial opinions and other documents
Schwegman, Lundberg
Woessner & Kluth, P.A.

Preliminary Class

707

MAR 25 2002

RECEIVED

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).